

# Content

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# 1. Privacy Clause

It is one of our primary responsibilities to protect the privacy of our clients and visitors to our website and to ensure the security of their personal and financial information. The following privacy statement explains how Stonefort Securities (SLC) Limited, incorporated in Saint Lucia with registration number 2025-00262 (hereinafter referred to as "SFS") collects and protects your information.

According to the privacy statement, once you open an account or use our website, you agree that we collect and use your personal information in accordance with the con- tents of this privacy agreement.

## 2. Personal Information Collection

We obtain your personal information directly from you or indirectly through your relationship with us. The relevant information we collect, will be used as noted below:

- Confirm your identity
- Confirm your contact information
- Open your trading account
- Set up your trading account and password

The above mentioned and/or any other necessary information we collect, will allow us to provide you with the services and give you access to a personalized browsing experience, market products, services, offers and other information that might be of interest to you.

Most of the information we collect directly and/or indirectly from you will include:

- Name
- Address
- Email address
- Phone number
- Date of birth
- Any other contact information

All the information and/or identification information collected is necessary for us to provide you with the best possible experience and to comply with the relevant Laws, Rules and Regulations of Saint Lucia. We also collect personal background information when you open an account, including gender, date of birth, and employment status. Our regulators also require us to evaluate your trading experience, annual gross income, gross net worth and affordable venture capital, to assess your financial situation.

Any data and information provided to us are necessary for the opening of your account and to establish our business relation.

To open your account, click here.

We also collect Your IP address, browser type, operating system, network provider, server clock, and transaction record. If you close an account, we will retain your information but will only use it in compliance with regulatory requirements, as well as to contact you and provide the opportunity to reopen the account or participate in promotional activities.

# 3. Sharing Personal Information with SFS Affiliates and Partners

SFS will not sell, license, lease or disclose your personal information to any Affiliates, Partners and/or Associates and their subsidiary and/or third party for any reason other than the following:

- If your personal information is required to provide you with the product or ser-vice you requested, or to provide you with the product or service through our affiliates.
- To improve the quality of our service, we may contract other companies to handle certain internal affairs, such as account processing, execution, customer service, customer satisfaction survey or other data collection related to our business.
- SFS reserves the right to provide your personal information to third parties when required by law to provide relevant information to regulators, law enforcement agencies or other government agencies.

Any time you choose to purchase a product or service from another company, for example by clicking on an advertisement on a website owned or controlled by SFS, the personal information you share with that company will no longer be subject to our privacy statement. We are not responsible for the privacy policies or content of the sites we link to, and we cannot control the use of the information you provide or collected by these sites and protect such information. Any time you choose to link to a joint site or visit a linked site, you may be asked to provide registration or other information. Please note that the information you provide will be submitted to a third party. You must first be familiar with the privacy policy published by the third party.

# 4. Security of Personal Information

We use network security software, systems, and programs to provide you with a secure trading environment and protect your personal, financial, and trading information.

When we open an account for you, you will be given a unique account number, username, and password. You are responsible for maintaining your account information, such as account number, username, and password. We strongly recommend that you do not disclose this information to anyone else.

Our website and online account opening page use encryption technology to secure the transmission of information and use an e-business certification authority to authenticate our website, guarantee transactions and the safety of clients' online applications, to help you identify whether it is our website that is connected.

#### 5. Storage of Personal Information and Retention Period

We will hold personal information for as long as we have a business relationship with you. Once our relationship with you has come to an end, we will retain your personal information for additional number of years that enables us to:

- Maintain business records for analysis and/or audit purposes;
- Meet our regulatory requirements;
- Comply with record retention requirements under applicable law;
- Defend or bring any existing or potential legal claims; and
- · Deal with any complaints regarding the services.

If there is any information that we are unable to delete entirely from our systems for technical issues, we will put in place appropriate measures to prevent any further processing or use of the information.

## 6. Privacy Policy Changes and Exits

Once SFS updates the content of this privacy statement, the revised privacy statement will be posted to the website immediately. By opening our account, you agree that the electronic notice on the privacy statement issued on the website is the actual notice.

In some cases, such as when we share your personal information with non-affiliated third parties, you can contact us through the following contact information to inform us that you wish to "exit" the privacy policy. If you have multiple accounts at SFS, you must submit an exit request separately for each account.

Any dispute over privacy claims must be governed by this notice and the Client Agreement of SFS. If you have any other questions not mentioned in this statement, please contact our customer service representative.

## 7. Legal Disclaimer

SFS may disclose your personal information as required by rules and regulations and when we believe that disclosure is necessary to protect our rights and/or to comply with any proceedings, court order, legal process to governmental, intergovernmental, or other regulatory bodies. SFS shall not be liable for misuse or loss of personal information or otherwise on our website that we do not have access to or control over. SFS will not be liable for unlawful or unauthorized use of your personal information due to misuse or misplacement of your information/password by you or due to your acts or omissions or any other.

